

RYAN P. FRIEL

ASSOCIATE



AREAS OF PRACTICE

Securities and Investments Professional Liability

CONTACT INFO

(215) 575-2758
rpfriel@mdwcg.com

2000 Market Street, Suite 2300
Philadelphia, PA 19103

ADMISSIONS

Pennsylvania
2013

EDUCATION

Syracuse University College of Law (J.D., cum laude, 2012)

Fairfield University (B.A., 2008)

YEAR JOINED

2020

OVERVIEW

As a member of the Securities & Investments Professional Liability Practice Group, Ryan represents FINRA member firms, registered representatives and registered investment advisors in state and federal court, in Financial Industry Regulatory Authority (FINRA) arbitration proceedings and in a wide range of investigations.

Prior to joining the firm, Ryan was an Assistant District Attorney in the Economic Crimes Unit of the Philadelphia District Attorney's Office. In his role as a prosecutor, Ryan gained significant trial experience with a particular focus on white-collar crime. Ryan also worked at FINRA where he assisted in bringing enforcement actions against broker-dealers and their registered representatives. Ryan's time at FINRA gives him a unique insight into the regulatory landscape his clients face. Ryan began his legal career at a boutique litigation firm where he represented professional athletes in investment fraud cases where he helped recover millions of dollars in losses.

Ryan is a 2008 graduate of Fairfield University where he earned a Bachelor of Arts and a 2012 graduate of Syracuse University College of Law where he graduated *cum laude*.

THOUGHT LEADERSHIP

The Sale of High Commission Products Under Regulation Best Interest

Philadelphia - Headquarters
Securities and Investments Professional Liability
November 23, 2020

FINRA and the SEC Respond to COVID-19

Philadelphia - Headquarters

Securities and Investments Professional Liability

COVID-19 Task Force

June 17, 2020

As New York City became the epicenter of the United States coronavirus outbreak in March, financial markets experienced significant volatility. The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Cole

PUBLISHED WORKS

"The Sale of High Commission Products Under Regulation Best Interest", *Aon Advisor Solutions, Fall 2020 Newsletter*